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July 3, 2002

WRITER'S DIRECT NUMBER: (202) 371-2629 Internet Address: Done@skge.com

Commissioner for Patents Washington, D.C. 20231

Art Unit 2823

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Re: U.S. Non-Provisional Patent Application

Appl. No. 09/753,664; Filed: January 4, 2001

For: High Density Metal Capacitor Using Via Etch Stopping Layer as Field

Dielectric in Dual-Damascene Interconnect Process

Inventor:

Liming Tsau

Our Ref:

1875.0230000

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form PTO/SB/17;
- 2. An Amendment and Reply Under 37 C.F.R. § 1.111;
- 3. A Petition for Extension of Time Under 1.136(a)(1);
- 4. An Information Disclosure Statement (IDS);
- 5. Form PTO-1449 with (7) accompanying documents;
- 6. Return post card; and
- 7. Our Check No. 35729 for \$ 290.00 to cover:

\$ 110.00 for extension of time fee; and

\$ 180.00 for late submission of IDS.

Commissioner for Patents July 3, 2002 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Donald J. Featherstone

Attorney for Applicant

Registration No. 33,876

Enclosures

DJF/LGK/agj

::ODMA\MHODMA\SKGF_DC1;29616;1 SKGF Rev. 2/15/02 dew

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

	Complete if Known			
OF FEE TRANSMITTAL	Application Number	09/753,664 January 4, 2001 Liming Tsau		
for FY 2002	Filing Date			
	First Named Inventor			
JUL 9 3 2002 Parent fees are subject to annual revision.	Examiner Name	Brairton, Scott A. 2823		
S	Group Art Unit			
CAMOUNT OF EXYMENT (\$) 290.00	Attorney Docket No.	1875.0230000		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		TONAL Entity		Entity				
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee D	escription	Fee paid	
Deposit Account Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing fee or oath			
⊠	127	50	227	25	Surcharge - late provisiona	l filing fee or cover sheet	· '2	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		130	139	130	Non-English specifi	cation		
Applicant claims small entity status See 37 CFR 1.27		2,520	147	2.520	For filing a request for	or <i>ex parte</i> reexam	ination	
See 37 CPR 1.27	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		er	
2. ⊠Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		action	
Check Credit card Money Order Other* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	115	110	215	55	Extension for reply within first month \$110.0		\$110.00	
FEE CALCULATION	116	400	216	200	Extension for reply within second month			
. BASIC FILING FEE	117	920	217	460	Extension for reply within third month			
arge Entity Small Entity	118	1,440	218	720	Extension for reply w	vithin fourth month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,960	228	980	Extension for reply w	vithin fifth month		
101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal			
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal			
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing			
108 740 208 370 Reissue filing fee	138	1,510	138				ding	
114 160 214 80 Provisional filing fee	140	110	240		Petition to revive - ur		,g	
	141	1,280	241	640	Petition to revive - ur			
SUBTOTAL (1) (\$)	142	1,280	242	640	Utility issue fee (or reissue)			
	143	460	243		Design issue fee	,		
	144	620	244		Plant issue fee			
	122	130	122		Petitions to the Comr	missioner	ļ	
EXTRA CLAIM FEES Fee from	123	130	123		Petitions related to pr		ons	
Extra below Fee Paid	126	180	126	180	Submission of Inform	nation Disclosure S	tmt \$180.00	
Total Claims 18 - 20** = 0 X \$18.00 = \$0.00 Indep. Claims 3- 3** = 0 X \$84.00 = \$0.00	581	40	481	40	Recording each patent assignment per		U100.00	
Multiple Dependent \$280.00 = \$0.00	146	740	246		property (times number of properties) Filing a submission after final rejection			
arge Entity Small Entity ee Fee Fee Fee Description	149	740	249	370	(37 ČFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b))		nined	
Code (\$) Code (\$) 03 18 203 9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)		CE)	
02 84 202 42 Independent claims in excess of 3	169	900	169		Request for expedited examination of a			
04 280 204 140 Multiple dependent claim	Othor foo (s				design application			
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or number previously paid, if greater, For Reissues, see above SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Donald J Featherstone	Registration No 33.876 Telephone		202-371-2600					
Attorney for Applicant 202-371-26				202-371-2000				
Signature VAR NG: Information on this		r hacama	nublic C	edit co-	dinformation about t	Date	7/3/02	